

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 3914-3  
C# M#

IUCHI et al

Group Art Unit: 1653

Appln. No. 09/758,269

Examiner: Not Known

Filed: January 12, 2001

Date: December 18, 2001

Title: TRANSGENIC PLANTS CARRYING NEOXANTHIN CLEAVAGE ENZYME GENE

Assistant Commissioner for Patents  
Washington, DC 20231

Sir: DEC 18 2001

## SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

### Fees are attached as calculated below:

Total effective claims after amendment	0	minus highest number	\$	0.00
previously paid for	20	(at least 20) =	0 x \$	18.00

Independent claims after amendment	0	minus highest number	\$	0.00
previously paid for	3	(at least 3) =	0 x \$	84.00

If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)

Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months)	\$	0.00
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Terminal disclaimer enclosed, add \$ 110.00	\$	0.00
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☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$740.00)

☐ Please enter the previously unentered, filed

☐ Submission attached

Subtotal \$ 0.00

If "small entity," then enter half (1/2) of subtotal and subtract

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee (\$180.00)

Assignment Recording Fee (\$40.00)

Other: **INFORMATION DISCLOSURE STATEMENT ALONG WITH FORM PTO-1449 AND REFERENCES**

**TOTAL FEE ENCLOSED \$ 0.00**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
GRT:ap

NIXON & VANDERHYE P.C.  
By Atty: Gary R. Tanigawa, Reg. No. 43,180

Signature: 

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of

IUCHI et al.

Appln. No. 09/758,269

Filed: January 12, 2001

Atty. Ref.: 3914-3

Group Art Unit: 1638

Examiner: Not Known

FOR: TRANSGENIC PLANTS CARRYING NEOXANTHIN CLEAVAGE ENZYME GENE

\* \* \*

**INFORMATION DISCLOSURE STATEMENT**

December 18, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached are copies of the European Search Report for a related application, a Form PTO-1449 listing references cited in the search report that were not previously submitted on June 27, 2001 and August 13, 2001, and those listed references.

This Information Disclosure Statement (IDS) is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under 37 CFR § 1.97(f), to enable Applicants to comply fully.

Contingent IDS Request Under 37 CFR § 1.97(c): Should a first Office Action on the merits have been mailed before today, please consider this a request under Rule 97(c) to consider this IDS because the search report was issued on October 29, 2001.

As provided by 37 CFR §§ 1.97(g) and (h), no inference should be made that this IDS and the references listed on Form PTO-1449 are prior art or material to patentability merely because they have been submitted for consideration. Also no representation is being made that a search has been conducted or that this statement encompasses all possible material information.

Consideration of the foregoing and enclosures, as well as the return of a copy of the Form PTO-1449 with the Examiner's initials per MPEP § 609, are requested.

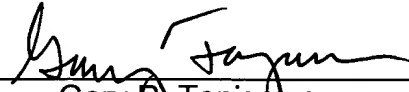
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Applicants earnestly solicit an early and favorable examination on the merits. The Examiner is invited to contact the undersigned if any further information is required.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_



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Enclosures